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Attorney Docket No.: PATENT SONY-12100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott D. Smyers *et al.*

Serial No.: 09/608,617

Filed: June 30, 2000

For: **METHOD OF AND APPARATUS
FOR RECORDING TIME
SENSITIVE DATA WITHIN A
STORAGE DEVICE AND
RESYNCHRONIZING THE DATA
WHEN TRANSMITTING
RECORDED DATA FROM THE
STORAGE DEVICE IN ORDER TO
REGAIN TIME SYNCHRONY
AFTER A LAPSE IN SYNCHRONY
OR ERROR CONDITION**

Group Art Unit: 2782

Examiner:

TRANSMITTAL LETTER

260 Sheridan Avenue, Suite 420
Palo Alto, CA 94306
(650) 833-0160

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JAN 28 2002
Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 11/21/01 By: Naravski

Attorneys for Applicants



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Group Art Unit: 2782

Examiner:

**CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR § 1.97(e)**

260 Sheridan Avenue, Suite 420
Palo Alto, California 94306
(650)833-0160

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached five (5) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on November 1, 2001. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

- 1 -

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.

Date: 11/21/01 By: Warner

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants



#1
C. Bures

Attorney Docket No.: PATENT
SONY-12100

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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

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(650)833-0160

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,960,152;
- U.S. Patent No. 6,233,637 B1;
- Japanese Patent No. 411025596A;
- Japanese Patent No. 02000078428A; and
- Japanese Patent No. 02000151681A.

- 1 -

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 11/21/01 By: N. Rausch

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

FORM PTO-1449
(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SONY-12100

Serial No.: 09/608,617

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: Scott D. Smyers et al.

(37 CFR § 1.98(b))

Filing Date: June 30, 2000

Group Art Unit: 2782

U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AC	5,960,152	09/28/99	Sawabe et al.	386	98	03/11/97
AD	6,233,637 B1	05/15/01	Smyers et al.	710	129	03/07/96
AE						
AF						
AG						
AH						
AI						
AJ						

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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK	411025596A	01/29/99	JP	G11B020	10		X
AL	02000078428A	03/14/00	JP	H04N005	00		X
AM	02000151681A	05/30/00	JP	H04L012	46		X
AN							
AO							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	
AQ	
AR	
AS	
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AV	
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AX	
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Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.